

# United States District Court

## EASTERN DISTRICT OF WISCONSIN

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USA,

Plaintiff(s),

v.

Case No. 11-CR-135

Ahuja,

Defendant(s).

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### **EXHIBITS RELEASED BY CLERK OF COURT'S OFFICE**

The Clerk of Court's office hereby releases all exhibits entered as evidence in the above captioned case on behalf of:

X Plaintiff or \_\_\_\_\_ Defendant

An itemization of the exhibits being released to the party indicated above, is attached to this document.

The undersigned hereby acknowledges receipt of these exhibits on behalf of the party referenced herein.

Date: 9/14/15

By: Micuelle Pribyl  
Title: Legal Assistant  
Of: USAO

USA v. Shuja

CASE NUMBER: 11-CR-135

\* Include a notation as to the location of any exhibit not held with the case file or not available because of size.

## FINAL EXHIBIT LIST

United States vs. Arvind Ahuja					District Court Eastern District of Wisconsin
Plaintiff's Attorney Tracy M. Johnson John E. Sullivan Melissa S. Siskind			Defense Attorneys Dan K. Webb Thomas L. Kirsch II Shannon A. Allen		Docket Number 11-CR-135
					Trial Date(s): August 15, 2012
Presiding Judge Charles N. Clevert			Court Reporter		Courtroom Deputy Kristine Wilson Brah
Plf. No.	Def. No.	Date Offered	Marked	Admitted	DESCRIPTION OF EXHIBITS
2		8/17/12		x	Letter from Ahuja dated 1/9/08 re: DLF Retail Developers, Ltd.
3		8/17/12		x	Letter signed by Ahuja to HSBC dated 2/13/08 re: Oxus Fund Management
4		8/17/12		x	Undated letter signed by Ahuja to HSBC dated 3/13/08 re: Oxus Fund Management
5		8/17/12		x	Undated letter signed by Ahuja to HSBC re: Checkbook
8		8/16/12		x	E-mail from Ahuja to Bhasin dated 7/4/09 with HSBC article
10		8/17/12		x	2008 Form 1099-INT from Citibank
11		8/17/12		x	2009 Form 1099-INT from Citibank
12		8/17/12		x	2006 Form 1040 for Arvind and Namrata Ahuja
13		8/17/12		x	2007 Form 1040 fro Arvind and Namrata Ahuja
14		8/17/12		x	2008 Form 1040 for Arvind and Namrata Ahuja
15		8/17/12		x	2009 Form 1040 for Arvind and Namrata Ahuja
18		8/17/12		x	2009 Year-end Planning agenda
19		8/17/12		x	Letter from Kolb+Co. Dated 12/29/09 with 2009 Tax Return Reminders
20		8/17/12		x	Term Deposit Notice dated 6/17/05
21		8/17/12		x	E-mails from Ahuja and Tandon dated 7/8/05 - 7/21/05
22		8/20/12		x	E-mails between Ahuja, Tandon, and HSBC dated 9/30/05 - 10/03/05 re: credit limit
29		8/17/12		x	E-mails between Ahuja and Tandon dated 6/13/06 - 6/14/06 re: CDs
32		8/17/12		x	Letter signed by Ahuja to HSBC dated 11/16/06 re: debit card

35		8/20/12		x	E-mail from Tandon dated 11/17/06 re: credit card PINs
38		8/17/12		x	E-mail from Dhanani dated 4/23/07 re: Jersey account
42		8/16/12		x	Account application dated 1/28/08
43		8/16/12		x	Blank Customer Declaration
46		8/17/12		x	E-mail from Dhanani dated 2/7/08 with attached letter signed by Ahuja re: HFDC
47		8/16/12		x	Tax Declaration signed by Ahuja for financial year 2008-2009
50		8/17/12		x	Letter signed by Ahuja dated 7/27/08 re: closure of NY account
53		8/17/12		x	E-mail from Dhanani dated 8/19/08 re: correspondence address with attachment
54		8/16/12		x	Letter signed by Ahuja dated 8/21/08 re: correspondence address
57		8/20/12		x	Documents relating to Citibank account #140407
58		8/17/12		x	Texas Energy Holdings, Inc. Instruction to Investors and Subscription Agreement dated 2/16/09
59		8/17/12		x	Letter signed by Ahuja dated 7/21/09 re: Lanza
61		8/17/12		x	E-mail from Dhanani dated 7/31/09 with letter signed by Ahuja re: wire transfer to Lana & Baucina Limited
62		8/17/12		x	Letter signed by Ahuja to HSBC dated 9/21/09 re: closing Jersey account
64		8/20/12		x	Check from HSBC to Ahuja dated 10/19/09 for 4696.71 British Pounds
69		8/16/12 & 8/20/12		x	Summary of High Balances w/ screen prints maintained in representative office
70		8/20/12		x	Summary comparing interest income on Forms 1040 and Forms 1040X
71		8/17/12 & 8/20/12		x	Summary of Unreported income w/screen prints maintained in representative office
72		8/17/12		x	Statements for HSBC USA Bank Acct# 610-75244-8 (11/27/03 - 8/12/08)
80		8/17/12		x	Letter signed by Ahuja to HSBC dated 1/28/08 re: Oxus Fund Management
82		8/17/12		x	NRO CD Encashment Requests dated 10/8/09
85		8/17/12		x	Letter signed by Ahuja to HSBC dated 10/6/09 re: withdrawal of cash

91		8/17/12		x	Currency Conversions
	2006	8/16/12		x	3/10/11 Ramit Bhasin proffer letter
	2008	8/16/12		x	4/12/11 Ramit Bhasin non-prosecution agreement
	2057	8/17/12		x	Tandon HSBC employment records
	2060	8/20/12		x	Citibank NRI Account Application dated 10/16/08
	2084	8/17/12		x	2002 amended returns and payment information
	2085	8/17/12		x	2003 amended returns and payment information
	2086	8/17/12		x	2004 amended returns and payment information
	2087	8/17/12		x	2005 amended returns and payment information
	2088	8/17/12		x	2006 amended returns and payment information
	2089	8/17/12		x	2007 amended returns and payment information
	2090	8/17/12		x	2008 amended returns and payment information
	2091	8/17/12		x	2009 amended returns and payment information
	2092	8/17/12		x	Summary comparing 2002-2009 Forms 1040 and 2002-2009 Forms 1040x (updated)
	2101	8/17/12		x	2001 US Bank Wire Transfer
	2203	8/17/12		x	Priti Dhanani Resume
	2204	8/21/12		x	Tuckaway Golf Record
	2214	8/21/12		x	HSBC 1099s
	2215	8/17/12		x	2002-2009 Summary of Federal Individual Income Tax Returns
	2216	8/17/12		x	2006-2009 1099 and K-1 Summary Chart
	2224	8/17/12		x	2002 Form 1040 for Arvind and Namrata Ahuja
	2225	8/17/12		x	2003 Form 1040 for Arvind and Namrata Ahuja
	2226	8/17/12		x	2004 Form 1040 for Arvind and Namrata Ahuja
	2227	8/17/12		x	2005 Form 1040 for Arvind and Namrata Ahuja
	2228	8/20/12		x	Pebble Beach Tee Sheet Records June 2009
	2229	8/20/12		x	Pebble Beach Screen Shot
	2233	8/21/12		x	2006 Kolb 1099s and K-1s
	2234	8/21/12		x	2007 Kolb 1099s and K-1s
	2235	8/21/12		x	2008 Kolb 1099s and K-1s
	2236	8/21/12		x	2009 Kolb 1099s and K-1s